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#### Title

A High-Definition tDCS and EEG study on attention and vigilance: Brain stimulation mitigates the executive but not the arousal vigilance decrement

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2 Attention comprises a wide set of processes such as phasic alertness, orienting, 3 executive control, and the executive (i.e., detecting infrequent targets) and arousal (i.e., 4 sustaining a fast reaction) vigilance components. Importantly, the effects of transcranial 5 direct current stimulation (tDCS) over attentional functioning have been mostly addressed by 6 measuring these processes separately and by delivering offline tDCS with low precision over 7 the stimulation region. In the current study, we examined the effects of online High-8 Definition tDCS (HD-tDCS) over the behavioral and electrophysiological functioning of 9 attentional and vigilance components. Participants (N = 92) were randomly assigned to one of 10 three stimulation groups: right dorsolateral prefrontal cortex stimulation, right posterior 11 parietal cortex (PPC) stimulation, and sham. All of them performed – in combination with the 12 HD-tDCS protocol - an attentional networks task (ANTI-Vea) suitable to measure the 13 executive and arousal components of vigilance along with three typical attentional functions: 14 phasic alertness, orienting, and executive control. In addition, EEG was registered at the 15 baseline and at the post-stimulation period. We observed that, regardless the stimulation 16 region, online HD-tDCS: (a) reduced phasic alertness (p = .008), but did not modulated the 17 orienting and executive control functioning; and (b) mitigated the executive vigilance decrement (p = .011), but did not modulated arousal vigilance across time-on-task. 18 19 Interestingly, only HD-tDCS over PPC reduced considerably the increment of alpha power 20 observed across time-on-task (p = .009). The current study provides further evidence for both 21 an empirical dissociation between vigilance components and the cortical regions underlying 22 attentional processes. We highlight the advantages of using online HD-tDCS to examine the 23 stimulation effects on attentional and vigilance functioning.

24 Keywords: HD-tDCS; Executive Vigilance; Arousal Vigilance; Phasic Alertness;
25 Orienting; Executive Control.

#### 1. Introduction

27 There is a growing interest in using transcranial direct current stimulation (tDCS) to 28 modulate attentional functioning in healthy adults (Coffman et al., 2014; Dedoncker et al., 29 2016; Reteig et al., 2017). In particular, tDCS is a non-invasive technique that delivers a 30 small (i.e., between 0.1 and 2.0 mA) intensity of anodal (i.e., positive current) or cathodal 31 (i.e., negative current) stimulation over a target region during a period of time usually no 32 longer than ~30 min, to produce a considerable shift in neurons excitability (Fertonani and 33 Miniussi, 2017). However, although recent studies have demonstrated that specifically anodal 34 tDCS does effectively reduce attentional failures in several daily life and work activities such 35 as driving (Sakai et al., 2014), remaining vigilant in air traffic control operations (Nelson et 36 al., 2014), or during multitasking activities in military environments (Nelson et al., 2016), 37 current evidence is inconsistent, and so the specific effects of anodal tDCS on attentional 38 performance in healthy adults still remain unclear (Coffman et al., 2012; Jacoby and Lavidor, 39 2018; Nelson et al., 2014; Roy et al., 2015).

40 In particular, attentional processes seem to be supported by three independent neural 41 networks, that may interact with each other (Petersen and Posner, 2012; Posner, 2012; Posner 42 and Dehaene, 1994; Posner and Petersen, 1990). The alerting network comprises the locus 43 coeruleus along with the right parietal and prefrontal cortices, a set of regions that underlie 44 both phasic alertness (i.e., a brief increment of arousal) and vigilance (i.e., the capacity for 45 sustaining attention over long time periods) (Posner, 2008). The posterior network involves 46 the pulvinar nuclei of the thalamus, the superior colliculus, the posterior parietal cortex 47 (PPC), and the frontal eye fields, and supports the attentional orienting towards potential 48 relevant sources for stimuli location (Posner, 2016). Finally, the anterior network includes the 49 dorsolateral prefrontal cortex (DLPFC) and the anterior cingulate gyrus, underlying executive 50 control processes to adapt our behavior to long term goals (Shenhav et al., 2013).

51 Interestingly, the effects of anodal tDCS on the attentional networks functioning have 52 been particularly investigated by using offline approaches, wherein participants received 53 tDCS at rest and then performed the attentional networks test (ANT) (Coffman et al., 2012; 54 Lo et al., 2019; Roy et al., 2015). In short, the ANT is a behavioral task that combines a flanker paradigm, which is suitable to assess executive control, with warning signals and 55 56 spatial cues preceding the response stimuli, suitable to measure phasic alertness and the 57 orienting functioning, respectively (Fan et al., 2002). However, evidence regarding the effects 58 of anodal tDCS over the behavioral performance in the ANT task is both scarce and 59 ambiguous at best. For instance, 1.5 mA of tDCS during ~20 min over the right PPC significantly improved (Lo et al., 2019) or showed partial effects (Roy et al., 2015) over the 60 61 orienting network; improvements on phasic alertness were observed only with 2.0 mA of 62 tDCS during ~30 min over the right prefrontal cortex (Coffman et al., 2012); and no 63 modulations over the executive control network were found by tDCS over right PPC (Lo et 64 al., 2019; Roy et al., 2015), right prefrontal cortex (Coffman et al., 2012), or both left PPC 65 and DLPFC (Roy et al., 2015).

66 Regarding vigilance, some effects of tDCS have been reported as a countermeasure 67 mitigating the performance decrement across time on task (Jacoby and Lavidor, 2018; 68 McIntire et al., 2017; Nelson et al., 2014; Roe et al., 2016). Note that, importantly, vigilance 69 has been traditionally assessed by long and monotonous tasks such as the Mackworth Clock 70 Test (MCT) (Mackworth, 1948) or the Psychomotor Vigilance Test (PVT) (Basner and 71 Dinges, 2011; Lim and Dinges, 2008) which, nevertheless, seem to assess two different 72 components of this function (Oken et al., 2006; Sarter et al., 2001). To better clarify the 73 different behavioral patterns between vigilance components, in the present study we would 74 refer to them as executive and arousal vigilance, following a terminology we have recently proposed (Luna et al., 2018). 75

76 Thus, on the one hand, the MCT measures a more executive component of vigilance, 77 which can be conceived as a cognitive ability for sustaining attention over extended periods 78 of time to monitor and detect infrequent critical signals by selecting and executing a specific 79 response over stimuli (Warm et al., 2008). In this vein, Nelson et al. (2014) found that 1.0 80 mA of 10 min online tDCS over the left DLPFC effectively helps to sustain participants' 81 target detection hits rate across time on task, in contrast to the typical decrement observed 82 with sham tDCS. On the other hand, the PVT seems to assess an arousal component of 83 vigilance, understood as the behavioral responsiveness of the arousal levels of attention for 84 sustaining a fast reaction to stimuli from environment over long time periods without 85 implementing much control over responses, a performance usually affected by sleep 86 deprivation (Drummond et al., 2005; Lim and Dinges, 2008). In this sense, after 24 hours of 87 extended wakefulness, 2.0 mA tDCS during ~30 min facilitated a fast reaction time on the 88 PVT in the following six hours, but did not reduce the percentage of lapses (i.e., responses 89 slower than 500 ms), as compared to sham tDCS (McIntire et al., 2014).

90 Note that the shifts on vigilance performance across time on task seems to correspond 91 with changes in the electrical cortical rhythms (Clayton et al., 2015). In particular, an 92 increment in the alpha band power has been positively associated with psychophysiological 93 states of decreased alertness, as sleep or mental fatigue (Oken et al., 2006). Indeed, Boksem 94 and colleagues found that the vigilance decrement observed in a signal detection task was 95 significantly accompanied by a progressive increment in lower-alpha frequencies (7.5-10 Hz) 96 in the PPC, an effect that was marginal in upper-alpha frequencies (10-12.5 Hz) (Boksem et 97 al., 2005).

98

#### **1.1.** The current study

99 The present research was motivated by the scarce and inconsistent evidence observed in the literature regarding the precise effects of anodal tDCS on the attentional networks in 100 101 healthy adults. Therefore, our main goal was to further analyze whether (and how) anodal 102 tDCS effectively modulates attentional and vigilance components functioning. To this end, 103 we decided to jointly investigate the stimulation effects in two core regions of the attentional 104 networks system: the right PPC and the right DLPFC (Petersen and Posner, 2012; Posner, 105 2012). Importantly, to address the effects of anodal tDCS on several attentional and vigilance 106 components, we used a novel version of the ANT, i.e., the Attentional Networks Test for 107 Interactions and Vigilance – executive and arousal components (ANTI-Vea); a task that is 108 suitable to assess –simultaneously and in a single session–, the independence and interactions 109 of phasic alertness, orienting, and executive control, along with the executive (EV) and 110 arousal vigilance (AV) decrement across time on task (Luna et al., 2018).

111 Regarding the stimulation procedure, with the aim of increasing the precision on the 112 cortical region wherein current is delivered, we used a High-Definition tDCS (HD-tDCS) 113 protocol, which is suitable to focus transcranial stimulation by surrounding the anodal 114 electrode with a ring of cathodal ones (Datta et al., 2009; Kuo et al., 2013). Furthermore, to 115 examine whether anodal stimulation is an effective tool to modulate the vigilance decrement 116 phenomenon, our main interest relied in examining the acute effects of neurons excitability 117 during behavioral assessment, and not the long-lasting effects of stimulation related to 118 neuroplasticity mechanisms (Fertonani and Miniussi, 2017; Yavari et al., 2018). Thus, HD-119 tDCS was administered while participants performed the ANTI-Vea task and not offline, at 120 difference to previous studies with the ANT (Coffman et al., 2012; Lo et al., 2019; Roy et al., 121 2015) or vigilance tasks (Jacoby and Lavidor, 2018; McIntire et al., 2017, 2014). Finally, to

122	inspect whether HD-tDCS modulates the alpha band across time on task, we contrasted alpha
123	power before and after delivering HD-tDCS in the right PPC or DLPFC.
124	2. Material and methods
125	2.1. Participants
126	Ninety-two healthy volunteers from the University of Granada participated in the
127	experiment. They had normal or corrected to normal vision, were tested prior to the
128	experiment for exclusion criteria (Rossi et al., 2011), signed an informed consent, and
129	received monetary compensation (10 Euros/hour). The study was conducted according to the
130	ethical standards of the 1964 Declaration of Helsinki (last update: Seoul, 2008), and was part
131	of a larger research project positively evaluated by the University of Granada Ethical
132	Committee (536/CEIH/2018).
133	Participants were randomly assigned to one of three groups: HD-tDCS over the right
134	PPC ( $n = 32, 24$ women, age: $M = 22.09, SD = 3.59$ ), HD-tDCS over the right DLPFC ( $n =$
135	30, 20 women, age: $M = 23.70$ , $SD = 4.28$ ), and sham HD-tDCS, with half of participants
136	being sham-stimulated over the right PPC ( $n = 15$ , 10 women, age: $M = 23.20$ , $SD = 3.23$ ),
137	and the other half over the right DLPFC ( $n = 15, 9$ women, age: $M = 23.87, SD = 3.79$ ).
138	Using G*Power 3.1.9.4 (Faul et al., 2007), we conducted power analyses to determine
139	whether the used sample size was enough to observe a reliable modulation of vigilance
140	performance across time on task by the stimulation procedure (i.e., a within-between
141	interaction). Sensitivity analysis demonstrated that considering $\alpha = .05$ and 1 - $\beta = .80$ , the
142	minimum effect size detectable should be of $f = .193$ , which is indeed an effect size smaller
143	than the one observed for the interaction reflecting the modulation of stimulation group over
144	the decrease of hits across blocks (i.e., $f = 0.204$ or $\eta_p^2 = .04$ ; see section 3.2). Thus, post hoc

145 analysis showed that given an effect size of f = 0.204 and  $\alpha = .05$ , the sample size was enough 146 to observe a within-between interaction with a power of  $1 - \beta = .85$ .

147

### 2.2. Behavioral assessment: ANTI-Vea

148 The task includes three different types of trials (i.e., ANTI, EV, and AV). The stimuli 149 sequence, procedure, and correct responses for each type of trials are depicted in Fig. 1, and 150 are described in detail in Luna et al. (2018b). The ANTI trials (see Fig. 1) follows the 151 procedure of the ANTI task (Callejas et al., 2004). Participants had to respond to the direction 152 pointed by a central arrow (i.e., the target), while ignoring the surrounding flanking arrows. 153 In short, to assess the executive control functioning, the target could point a congruent or 154 incongruent direction with regards to the flanking arrows. For measuring the phasic alertness 155 functioning, an auditory warning signal could anticipate the target appearance in half of these 156 trials, whereas no warning signal was presented in the other half. To assess the orienting 157 functioning, the arrows position could be predicted either by a valid (i.e., the same location) 158 or invalid spatial cue, or by no cue at all.

159 The EV trials had the same procedure as the ANTI, except that the target was 160 displaced (i.e., 8 pixels –px–) from its central position either upwards or downwards (see Fig. 161 1), and participants had to remain vigilant to detect these displaced targets, while ignoring in these cases the direction the target pointed to –a task similar to the MCT (Mackworth, 1948) 162 163 -. Lastly, in the AV trials, no warning signal nor visual cue appeared before the response' 164 stimuli, and participants were instructed to stop a millisecond down counter as fast as 165 possible by pressing any available key from the keyboard (see Fig. 1), thus performing a task 166 similar to the PVT (Lim and Dinges, 2008).



Fig. 1. Stimuli and trials for the ANTI-Vea task. Top panels shows the stimuli sequence for
(A) ANTI and executive vigilance trials, and (B) arousal vigilance trials. Panel (C) shows the
proportion and correct responses for each ANTI, executive vigilance, and arousal vigilance
trials.

167

172 Instructions encouraged participants to keep the gaze at every moment on the fixation 173 point ('+'), which appeared all time in the center of the screen (see Fig. 1). Note that the three type of trials were randomly presented within each experimental block, so that participants 174 175 had to keep in mind instructions to perform the three type of tasks at the same time. In the largest proportion of trials (i.e., the ANTI trials; 60%), the target and flanking arrows could 176 177 appear above or below the fixation point and could be preceded by the warning signal and/or 178 visual cue. In these cases, participants had to respond to the direction pointed by the target 179 with the left or the right hand (see Fig. 1, panel c). The EV trials (20%) followed the same 180 stimuli sequence than the ANTI ones, except that the target appeared largely displaced either 181 upwards or downwards from its central position. Here, participants had to respond to the displacement with a different response key, ignoring in these cases the direction the target 182

183	pointed to (see Fig. 1, panel c). In the AV trials (20%), no warning signal nor visual cue was
184	presented (as in some of the ANTI and EV trials, i.e., those with no tone nor visual cue), and
185	the response' stimulus (i.e., the down counter) appeared in the center of the screen until
186	participants' response (see Fig. 1, panel c).

187

# 7 **2.3. Stimulation protocols and EEG recording**

188

# 2.3.1. Apparatus.

HD-tDCS procedure and EEG signal recording were controlled with a Starstim® 8 189 190 channels wireless system, integrated with the NIC 2.0.10 software application 191 (Neuroelectrics®, Barcelona, Spain). Five hybrid tCS/EEG PiStim (2 cm diameter, containing a sintered Ag/AgCl pellet of 12 mm, and ~3.14 cm<sup>2</sup> of contact area) and three 192 standard EEG Geltrode (12 mm diameter, ~1 cm<sup>2</sup> of contact area) circular electrodes were 193 194 placed over a neoprene headcap with 39 positions based on the International 10-10 EEG system. Electrical reference channels were connected to an EarClip electrode placed over the 195 196 right earlobe.

197

### 2.3.2. HD-tDCS procedure.

Electrodes were placed in one of two possible montages: right PPC or right DLPFC (see Fig. 2). Anodal (1.5 mA) or sham (0 mA) HD-tDCS was used respectively depending on the group. In all conditions (real/sham HD-tDCS) we used a 30 sec of ramp up/ ramp down. Electrodes position, and the voltage field (simulated with ROAST; Huang et al., 2019) for the stimulation protocols are depicted in Fig. 2.

203

## 2.3.3. EEG data acquisition and pre-processing.

In all groups, EEG signal was recorded in channels CP2, P4, PO8, AF4, F4, FC2 (see Fig. 2) along the experiment, although only data from the baseline and post-stimulation block were analyzed. Signal was registered with a sampling rate of 500 Hz, a bandwidth of 0 to 125
Hz, and a notch filter (50 Hz).



both for the baseline and post-stimulation block.



216

Fig. 2. Electrodes setup and voltage field simulation. The superior panel shows the electrodes setup for (a) HD-tDCS and sham procedures over the right PPC, and (b) the HD-tDCS and sham procedures over the right DLPFC. Electrodes in red delivered anodal (1.5 mA) current in HD-tDCS conditions, and the black electrodes were set as the return ones. Gray electrodes only registered EEG signal. In addition, in the setup shown in (a), CP4, P4 and PO8 also

registered EEG at baseline and post stimulation periods, and in the setup shown in (b), AF4,

F4, and FC2 registered EEG at baseline and post stimulation periods. The inferior panel

shows the simulation of voltage field for (c) HD-tDCS in right PPC and (d) HD-tDCS in right

DLPFC.

## 226 **2.4. Procedure**

227 Participants received first the usual specific instructions and practice trials of the

ANTI-Vea task by Luna et al. (2018b). Then, they completed seven experimental blocks

without any pause or feedback, with 80 randomly presented trials in each of them (48 ANTI,

230 16 EV, and 16 AV). The experimental blocks were divided in three phases: baseline,

real/sham stimulation, and post-stimulation (see Fig. 3). At the end of the session,

232 participants completed the Survey of Sensations related to transcranial electrical stimulation

233 (tES)<sup>2</sup> (Fertonani et al., 2015).



234

<sup>2</sup> Anticipating results from tES, groups did not differ in the self-report of discomfort feelings: all  $\chi^2$  comparisons were not significant (all *ps* > .200). In addition, groups did not differ in the perception that discomfort feelings affected their performance [ $\chi^2$  (4) = 9.23, *p* = .055], neither in the guessing to the group they belonged to [ $\chi^2$  (4) = 2.68, *p* = .612].

Fig. 3. Session structure. Experimental blocks comprised three different periods: baseline, realor sham stimulation, and post-stimulation.

- 237 **2.5. Statistical Analyses**
- 238

#### 2.5.1. Behavioral data.

Two participants were excluded due to technical problems during data acquisition. In all the analyses, the stimulation procedure was included as a between-participants factor with the following groups: PPC HD-tDCS (n = 31), DLPFC HD-tDCS (n = 29), and sham HDtDCS (n = 30).

Data from the ANTI trials were analyzed only for the 2nd to 6th block (i.e., during the real/sham stimulation period). In addition, trials with incorrect responses (4.37 %), or with reaction times (RT) below 200 ms or above 1500 ms (1.26 %) were excluded from the RT analysis. Two mixed ANOVAs were conducted, with RT or the percentage of errors as dependent variable, and including warning signal (no tone/tone), visual cue (invalid/no cue/valid), and congruency (congruent/incongruent) as within-participants factors.

249 To analyze the shifts of vigilance components across time on task, the EV and AV 250 measures were computed per block of trials from the 1st (i.e., baseline) to the 6th block. In 251 the EV trials, we computed the hits (i.e., correct responses on EV trials) and false alarms (FA, i.e., space bar responses in the ANTI trials) rate, and non-parametric indexes of sensitivity 252 253 (A') and response bias (B") (Stanislaw and Todorov, 1999). With the aim to avoid that a floor 254 effect in the FA could masks a considerable shift in the response bias (Thomson et al., 2016), 255 only some ANTI trials were used to compute FA following the method developed by Luna et 256 al. (Unpublished results). In particular, we categorized off-line the ANTI trials as a function 257 of the vertical distance between the position of the target and the closest adjacent flanker, to 258 select only those trials wherein there was a higher chance to observe a FA. Note that, in the

ANTI-Vea task, the target and distractors are presented with a random variability on its position (i.e., ± 2 px both upwards/downwards and leftwards/rightwards), thus making more difficult the detection the large displacement of the infrequent target in the EV trials (wherein the target is fixed and displaced 8 px, either upwards/downwards). Therefore, the FA rate was computed only considering those trials in which this distance was between 3 and 4 px, and the trials wherein this distance was between 0 and 2 px were excluded from EV analyses.

The analysis of the EV decrement included four mixed ANOVA, with hits, FA, A', and B" as dependent variables, and blocks (1st to 6th) as a within-participant factor. For the AV trials, the mean and SD of RT were included as dependent variables in the two mixed ANOVA, with blocks (1st to 6th) as within-participant factor. Post-hoc analyses for inspection of HD-tDCS modulations over the EV or AV performance included a one-way ANOVA for the baseline data, and then comparisons to determine the significance of the linear component across blocks.

272

## 2.5.2. EEG data.

Five participants were additionally excluded either due to technical connection issues during data acquisition (three from the PPC HD-tDCS group) or EEG signal quality (two from the DLPFC HD-tDCS group). Alpha power was analyzed in a mixed ANOVA with group as between-participants factor, and period (baseline/post-stimulation) and region (parietal – the average of CP2, P4, and PO8 data – and frontal – the average of AF4, F4, and FC2 data –) as within-participant factors. Supplementary Fig. 1 presents complementary analyses by channel, and full spectrograms by channel and group.

# 3. Results and Discussion

# 281 **3.1. Phasic Alertness, Orienting, and Executive Control**

282	The main effects usually reported with the ANTI (Callejas et al., 2004) and ANTI-
283	Vea (Luna et al., 2018) tasks were replicated here. For warning signal (RT [ $F(1, 87) =$
284	102.43, $p < .001$ , $\eta_p^2 = .54$ ]; errors [ $F(1, 87) = 16.15$ , $p < .001$ , $\eta_p^2 = .16$ ]), responses were
285	faster and more accurate in the tone (RT: $M = 568$ ms, $SE = 10$ ; errors: $M = 3.56\%$ , $SE =$
286	0.34) than in the no tone (RT: $M = 597$ ms, $SE = 10$ ; errors: $M = 5.19\%$ , $SE = 0.46$ ) condition.
287	Regarding the congruency effect (RT [ <i>F</i> (1, 87) = 301.79, <i>p</i> < .001, $\eta_p^2$ = .78]; errors [ <i>F</i> (1,
288	87) = 20.31, $p < .001$ , $\eta_p^2 = .19$ ]), responses were faster and more accurate in the congruent
289	(RT: $M = 561$ ms, $SE = 10$ ; errors: $M = 3.61\%$ , $SE = 0.32$ ) than in the incongruent (RT: $M =$
290	605 ms, $SE = 10$ ; errors: $M = 5.14$ %, $SE = 0.43$ ) condition. Finally, the cueing effect was
291	only observed for RT ([ $F(2, 174) = 99.33, p < .001, \eta_p^2 = .53$ ]; errors, [ $F(2, 174) = 1.95, p = .53$ ]; errors, [ $F(2, 174) = 1.95,$
292	.145, $\eta_p^2 = .02$ ]), with faster responses in the valid ( $M = 564$ ms, $SE = 10$ ), than in the no cue
293	(M = 587  ms, SE = 10) and invalid $(M = 597  ms, SE = 10)$ conditions.
294	Additionally, the usual two-way interactions were also replicated: Visual cue $\times$
295	Congruency (RT: [ $F(2, 174) = 8.31, p < .001, \eta_p^2 = .09$ ]; errors: [ $F(2, 174) = 7.23, p < .001,$
296	$\eta_p^2 = .08$ ]), Warning signal × Visual cue (only for RT [F (2, 174) = 25.43, p < .001, $\eta_p^2 = .23$ ];
297	errors: [F (2, 174) = 2.11, $p = .124$ , $\eta_p^2 = .02$ ]), and Warning signal × Congruency (only for
298	RT: [ $F(1, 87) = 7.58, p = .007, \eta_p^2 = .08$ ]; errors: $F < 1$ ), providing additional empirical
299	support in favor of the effectiveness of the task to assess both the independence and
300	interactions of the classic attentional functions in the present study (see Table 1 and 2).

301 A significant main effect of group was observed for RT [ $F(2, 87) = 3.71, p = .028, \eta_p^2$ 302 = .08], but not for errors [ $F(2, 87) = 1.03, p = .360, \eta_p^2 = .02$ ]. The PPC HD-tDCS group showed slower RT (M = 620 ms, SE = 17) as compared to sham (M = 565 ms, SE = 18) and DLPFC HD-tDCS groups (M = 561 ms, SE = 16). Note that this effect is unexpected, and likely meaningless, as it was present even in the baseline block [F(2, 87) = 4.37, p = .016,  $\eta_p^2$ 306 = .09].

There were no modulations of HD-tDCS over visual cue (RT: F < 1, errors: [F (4, 174) = 2.18, p = .073,  $\eta_p^2 = .05$ ]) nor congruency (both for RT and errors: Fs < 1) effects. Therefore, it might be possible that online HD-tDCS does not effectively modulates orienting, as reported by previous studies with offline tDCS (Lo et al., 2019; Roy et al., 2015). Furthermore, the present results are consistent with previous evidence regarding executive control, wherein no modulation was observed with offline tDCS (Coffman et al., 2012; Lo et al., 2019; Roy et al., 2015).

314 Interestingly, during the stimulation period, HD-tDCS significantly modulated the main effect of phasic alertness on errors [F (2, 87) = 5.13, p = .008,  $\eta_p^2 = .11$ ], but not on RT 315  $[F(2, 87) = 1.87, p = .161, \eta_p^2 = .04]$ . Phasic alertness (i.e., the difference between the no 316 tone and tone condition) was importantly reduced in the PCC HD-tDCS (M = 0.59%, SE =317 318 0.61) and DLPFC HD-tDCS (M = 0.83%, SE = 0.59) groups, in contrast to the sham one (M= 3.44%, SE = 0.87). Note that a specific comparison showed a similar reduction in phasic 319 320 alertness with RT for the PPC (39 ms) and DLPFC (37 ms) HD-tDCS groups compared to the 321 sham one (55 ms), which was significant specifically at the no cue condition [F(1, 87) =5.33, p = .023,  $\eta_p^2 = .06$ ], wherein the phasic alertness effect is more clearly observed 322 (Callejas et al., 2004). These results indicates a relevant modulation of phasic alertness under 323 324 online HD-tDCS regardless the stimulation site, in line with previous evidence concerning a 325 modulation of offline tDCS over phasic alertness (Coffman et al., 2012).

- 326 Lastly, HD-tDCS did not modulated neither the two-way interactions (both for RT
- 327 and errors: all Fs < 1.20, all ps > .300), nor the Warning signal × Visual cue × Congruency
- 328 interaction (RT: [ $F(4, 174) = 1.41, p = .229, \eta_p^2 = .03$ ], errors F < 1).
- 329 **Table 1.** Mean correct RT for warning signal, visual cue, and congruency conditions, as a
- 330 function of HD-tDCS group. SE of mean is shown between parentheses.

	No tone			Tone			
		Invalid	No cue	Valid	Invalid	No cue	Valid
PPC HD-tDCS	Congruent	619 (17)	631 (21)	596 (18)	597 (15)	582 (17)	570 (17)
	Incongruent	665 (18)	659 (16)	639 (21)	650 (16)	630 (17)	606 (17)
DLPFC HD-tDCS	Congruent	554 (17)	565 (16)	535 (17)	541 (18)	521 (16)	514 (19)
	Incongruent	613 (17)	598 (15)	572 (17)	604 (16)	569 (17)	556 (16)
Sham HD-tDCS	Congruent	565 (19)	579 (19)	547 (22)	544 (22)	521 (22)	502 (16)
	Incongruent	611 (19)	622 (21)	579 (16)	601 (19)	568 (18)	552 (17)

331

**Table 2.** Percentage of errors for warning signal, visual cue, and congruency conditions, as a

function of HD-tDCS group. SE of mean is shown between parentheses.

		No tone		Tone			
		Invalid	No cue	Valid	Invalid	No cue	Valid
PPC HD-tDCS	Congruent	3.06 (0.89)	3.06 (0.68)	6.29 (1.27)	3.06 (0.86)	2.74 (0.76)	5.16 (1.10)
	Incongruent	5.81 (1.11)	4.84 (0.75)	5.48 (1.14)	4.19 (0.90)	5.32 (1.20)	4.52 (1.12)
DLPFC HD-tDCS	Congruent	2.76 (0.84)	2.93 (0.80)	4.14 (0.83)	3.45 (0.96)	1.72 (0.57)	2.59 (0.64)
	Incongruent	6.21 (1.69)	4.48 (0.97)	4.31 (1.16)	5.69 (1.44)	3.62 (0.74)	2.76 (0.73)
Sham HD-tDCS	Congruent	4.67 (1.12)	5.00 (1.15)	6.67 (1.21)	2.50 (0.82)	2.00 (0.82)	3.00 (0.82)
	Incongruent	7.50 (1.31)	8.33 (1.73)	7.67 (1.45)	5.17 (0.94)	3.67 (0.76)	2.83 (1.04)

#### 335 **3.2. Executive Vigilance**

The main effect of group was significantly observed only for FA [F (2, 87) = 5.33, p = .007,  $\eta_p^2$  = .11], but not for hits [F (2, 87) = 2.62, p = .078,  $\eta_p^2$  = .06], A' [F (2, 87) = 1.56, p = .216,  $\eta_p^2$  = .03] or B" [F (2, 87) = 2.69, p = .073,  $\eta_p^2$  = .06]. The PPC HD-tDCS group made more FA (M = 6.01%, SE = 0.71) than the sham (M = 2.98%, SE = 0.72) and the DLPFC HDtDCS (M = 3.36%, SE = 0.74) groups. Note that the difference in the FA rate between groups was present even in the baseline block [F (2, 87) = 5.76, p = .004,  $\eta_p^2$  = .12] (see Fig. 4), and so this effect might not be due to HD-tDCS.

343 As can be seen in Fig. 4, the EV decrement was observed as previously reported with 344 the ANTI-Vea task (Luna et al., 2018). There was a significant decrement on hits [F(5, 435)]= 12.34, p < .001,  $\eta_p^2 = .12$ ] and FA [F (5, 435) = 9.31, p < .001,  $\eta_p^2 = .10$ ], and as 345 consequence, a relevant decrement of A' [F (5, 435) = 2.89, p = .014,  $\eta_p^2 = .03$ ] and an 346 increment of B" [F (5, 435) = 7.40, p < .001,  $\eta_p^2 = .08$ ] across blocks. Note that, interestingly, 347 HD-tDCS modulated the decrement of hits [ $F(10, 435) = 2.04, p = .028, \eta_p^2 = .04$ ] and A' [F348  $(10, 435) = 2.04, p = .028, \eta_p^2 = .04]$ , but not of FA [F (10, 435) = 1.43, p = .164, \eta\_p^2 = .03] 349 and B" [ $F(10, 435) = 1.12, p = .345, \eta_p^2 = .03$ ]. 350

Thus, while there was no difference on hits at baseline between groups [F(2, 87) =3.06, p = .052,  $\eta_p^2 = .07$ ], the expected linear decrement observed in the sham group [F(2, 87) =3.06, p = .052,  $\eta_p^2 = .07$ ], the expected linear decrement observed in the sham group [F(2, 87) = 24.72, p < .001,  $\eta_p^2 = .22$ ], was significantly different compared to that observed in the 3.06, p = .048,  $\eta_p^2 = .04$ ] groups, which did not differ from each other (F < 1).

Regarding sensitivity, as observed in the hits, groups did not differ on A' at the baseline [ $F(2, 87) = 1.37, p = .259, \eta_p^2 = .03$ ]. Moreover, the linear decrement of A' in the

sham HD-tDCS group [ $F(1, 87) = 16.17, p < .001, \eta_p^2 = .16$ ] was significantly different from that observed in the two HD-tDCS groups [ $F(1, 87) = 7.53, p = .007, \eta_p^2 = .08$ ], which did



360 not differ from each other [ $F(1, 87) = 2.15, p = .146, \eta_p^2 = .02$ ].

361

362 Fig 4. Executive vigilance decrement as a function of HD-tDCS conditions. Graphs represents 363 the hits (superior left), FA (superior right), sensitivity (inferior left), and response bias (inferior 364 right) per block of trials. The shadowed region at each graph denotes the real/sham stimulation 365 period. Error bars shows SE of mean.

## 366 **3.3. Arousal Vigilance**

367 The main effect of group was found as significant for mean RT [F(2, 87) = 6.49, p =

368 .002,  $\eta_p^2 = .13$ ] but not for SD of RT [*F* (2, 87) = 1.90, *p* = .155,  $\eta_p^2 = .04$ ]. The PPC HD-

369 tDCS group showed slower responses (M = 502 ms, SE = 9), than the DLPFC HD-tDCS (M =

460 ms, SE = 9) and the sham HD-tDCS (M = 468 ms, SE = 9) groups, a difference observed

even at the baseline block [ $F(2, 87) = 4.99, p = .009, \eta_p^2 = .10$ ] and therefore independent of

372 stimulation.

As shown in Fig. 5, the AV decrement was observed as an increment in RT variability across blocks  $[F (5, 435) = 6.54, p < .001, \eta_p^2 = .07]$ , with a significant linear component [F $(1, 87) = 21.06, p < .001, \eta_p^2 = .19] - a$  pattern usually observed with the PVT (Basner and Dinges, 2011) and the ANTI-Vea (Luna et al., 2018) –, while mean RT did not change across blocks (F < 1). Importantly, neither mean RT nor RT variability changes across blocks were modulated by the HD-tDCS group (both Fs < 1).



379

Fig 5. Arousal vigilance decrement as a function of HD-tDCS conditions. Graphs represents the mean RT (left) and RT variability as SD of RT (right), per block of trials. The shadowed region at each graph denotes the real/sham stimulation period. Error bars shows SE of mean.

## 383 **3.4. HD-tDCS modulates differently the EV and AV decrement**

To further understand the effects of HD-tDCS on the vigilance components' decrement, we performed the following series of exploratory analyses. In particular, PPC and DLPFC HD-tDCS groups were collapsed in one single group and contrasted to the sham group, aiming at examining whether HD-tDCS over the two core regions of the attentional networks effectively mitigates only the EV decrement, but not the AV one. For the EV component, hits showed no main effect of group [F(1, 88) = 1.87, p =

390 .174,  $\eta_p^2 = .02$ ], but it was observed a clear significant shift across blocks [*F* (5, 440) = 14.92,

 $p < .001, \eta_p^2 = .14$ ] that was modulated by HD-tDCS [F (5, 440) = 3.00,  $p = .011, \eta_p^2 = .03$ ]. 391 392 As depicted in Fig. 6, groups did not differ at the baseline (F < 1). Most important, the sham group showed a more prominent linear decrement [F (1, 88) = 25.01, p < .001,  $\eta_p^2 = .22$ ], in 393 contrast to the HD-tDCS group [F (1, 88) = 8.47, p = .004,  $\eta_p^2 = .09$ ], which indeed showed 394 395 no decrement at all within the stimulation period (i.e., from the 2nd to the 6th block: F < 1). Therefore, regardless the stimulation site, online HD-tDCS effectively mitigates the EV 396 397 decrement, supporting previous evidence obtained with online tDCS protocols (Nelson et al., 398 2014).

In contrast, AV (measured as the RT variability) showed a considerable increment across blocks [ $F(5, 440) = 6.20, p < .001, \eta_p^2 = .07$ ] with a clear linear trend [F(1, 88) =18.92,  $p < .001, \eta_p^2 = .18$ ]. Nevertheless, the RT variability increment was independent of the HD-tDCS group [ $F(5, 440) = 1.04, p = .392, \eta_p^2 = .01$ ] (see Fig. 6). Lastly, there was not a main effect of group for RT variability (F < 1). Thus, in contrast with previous evidence obtained with offline tDCS under sleep deprivation conditions (McIntire et al., 2014), here online HD-tDCS did not reduce the AV decrement.



407 Fig 6. Executive and arousal vigilance decrement as a function of HD-tDCS in the right
408 hemisphere and sham condition. Graphs represents the hits rate (left) and SD of RT (right), per

block of trials. The shadowed region at each graph denotes the real/sham stimulation period.
Dotted line represents the linear trend for each dependent variable and group. Error bars show
SE of mean.

412

## 2 **3.5. HD-tDCS effects on alpha power**

Alpha power was not significantly different between groups [F(1, 82) = 1.52, p =  $224, \eta_p^2 = .04]$ , but there were significant main effects for region  $[F(1, 82) = 51.43, p < .001, \eta_p^2 = .39]$  and period  $[F(1, 82) = 82.89, p < .001, \eta_p^2 = .50]$  (see Fig. 7). Thus, in line with previous findings with vigilance tasks (Boksem et al., 2005; Clayton et al., 2015), alpha power was higher over the parietal than over the frontal region, and increased notably from the beginning to the task end.

419 Most importantly, there was a significant Group × Region × Period interaction [*F* (2, 420 82) = 4.95, p = .009,  $\eta_p^2 = .11$ ]. In particular, in the frontal region, alpha power increased 421 significantly between periods [*F* (1, 82) = 69.25, p < .001,  $\eta_p^2 = .46$ ], with no modulation by 422 group (*F* < 1). Instead, as shown in Fig. 7, in the parietal region alpha power increased 423 differently as a function of group [*F* (2, 82) = 4.27, p = .017,  $\eta_p^2 = .09$ ], with a slighter 424 increment in the PPC [*F* (1, 82) = 4.00, p = .049,  $\eta_p^2 = .05$ ], than in the DLPFC and sham HD-425 tDCS groups [*F* (1, 82) = 60.52, p < .001,  $\eta_p^2 = .42$ ].



Fig 7. Mean alpha (7.5-12.5 Hz) power by region (parietal: CP2, P4, PO8; and frontal: AF4,
FC2, FC2) as a function of period (baseline/post-stimulation) and group (PPC HD-tDCS,
DLPFC HD-tDCS, sham HD-tDCS). Note that the most reduced alpha power shift between
periods is observed in parietal region of the PPC HD-tDCS group (i.e., the pair of bars within
the dotted line). Error bars show SE of mean.



4. General Discussion

440	The present study aimed at examining whether anodal tDCS over the right PPC and
441	DLPFC effectively modulates the attentional networks functioning in healthy adults. To this
442	end, we assessed the attentional networks components with the ANTI-Vea, a behavioral task
443	suitable to measure within a single session the independence and interactions of the classic
444	attentional components (i.e., phasic alertness, attentional orienting, and executive control),
445	while assessing the EV and AV decrement across time on task (Luna et al., 2018).
446	Importantly, to examine the effects of anodal tDCS on the neurons excitability during the
447	performance on the ANTI-Vea task, stimulation was delivered online instead of offline
448	(Fertonani and Miniussi, 2017; Yavari et al., 2018). Furthermore, to increase the precision in
449	the region wherein current is delivered, we used a HD-tDCS procedure (Datta et al., 2009;
450	Kuo et al., 2013). Finally, we examined whether anodal HD-tDCS modulates the alpha power
451	increment across time on task, a neural mechanism usually associated with the vigilance
452	decrement phenomenon (Boksem et al., 2005; Clayton et al., 2015).
453	It is important to note that here, at difference with previous researches on attention or
454	vigilance with anodal tDCS (Coffman et al., 2012; Lo et al., 2019; McIntire et al., 2017;
455	Nelson et al., 2014; Roy et al., 2015), we assessed multiple attentional and vigilance
456	components within a single task, i.e., the ANTI-Vea (Luna et al., 2018). Although the ANTI-
457	Vea requires several different responses to multiple tasks, it must be noticed that it is indeed
458	as effective as previous versions of the attentional networks test such as the ANTI (Callejas et
459	al., 2004) and the ANTI-Vigilance (Roca et al., 2011) to assess the independence and
460	interactions of the classic attentional components (as demonstrated in the Results of the
461	present study; see section 3.1). In addition, whereas vigilance has been traditionally assessed
462	by single and monotonous behavioral tasks (Thomson et al., 2016), it is worth mentioning
463	that the ANTI-Vea is additionally suitable to assess the EV decrement as in the MCT

464 (Mackworth, 1948) and the AV decrement as in the PVT (Lim and Dinges, 2008). Therefore, 465 while a task with multiple demands might somewhat modify the dynamic of traditional 466 methods to assess attentional and vigilance components, note that our method has already 467 demonstrated to be effective in including a direct and separate measure of several attentional 468 and vigilance functions (Luna et al., 2018). In this context, we found a clear modulation of 469 online anodal HD-tDCS on two distinct components of the alerting network (i.e., phasic 470 alertness and EV, but not AV).

471 Regarding the classic attentional components, we have found that online anodal HD-472 tDCS over the right PPC and the right DLPFC, only reduced phasic alertness but did not 473 modulated the orienting nor the executive control network. Note that the cortical regions we 474 have stimulated in the present study are specifically related to some of the brain regions 475 described for the alerting network, i.e., a brain circuit that comprises brain stem regions as the 476 locus coeruleus along with right parietal and prefrontal cortices (Petersen and Posner, 2012; 477 Posner, 2012, 2008). Importantly, by using a HD-tDCS procedure we have considerably 478 enhanced the spatial precision on the stimulated region in comparison with previous studies 479 on the attentional networks that have used the standard tDCS setup (Coffman et al., 2012; Lo 480 et al., 2019; Roy et al., 2015). Future research should examine whether anodal HD-tDCS is 481 more precise to modulate the orienting and the executive control network by stimulating 482 others cortical regions of the attentional networks system, as for instance, the frontal eye 483 fields, the anterior cingulate cortex, or the left DLPFC (Petersen and Posner, 2012).

Importantly, one of the main findings of the present study is to have shown that online anodal HD-tDCS over the right PPC and the right DLPFC mitigated the EV decrement across time on task, but did not modulated the AV one. Note that previous research aiming to modulate vigilance performance by anodal tDCS has found inconsistent results, in particular concerning the EV component (Jacoby and Lavidor, 2018; Nelson et al., 2014). In addition, it

489 is important to highlight that some studies have measured the EV component as the global 490 score of performing a signal detection task (Jacoby and Lavidor, 2018; Roe et al., 2016). 491 However, the vigilance decrement is a phenomenon that is observed as a progressive loss of 492 sustained attention across time on task (Hancock, 2017; Mackworth, 1948), and therefore to 493 examine the modulation of anodal tDCS over vigilance the performance should be analyzed 494 as a function of time on task. Regarding the AV component, the effects of anodal tDCS were 495 observed in previous studies particularly under sleep deprivation conditions, a state wherein 496 vigilance performance is usually hindered (McIntire et al., 2017, 2014).

497 In the present study, we examined both vigilance components with a behavioral task 498 (i.e., the ANTI-Vea) that has proved to be suitable to observe both the EV and AV decrement 499 across time on task within a single session (Luna et al., 2018). Indeed, note that in an ongoing 500 behavioral study with 617 participants, the linear decrement on hits in the EV component [F] $(1, 589) = 155.48, p < .001, \eta_p^2 = .21$  and the linear increment of RT variability in the AV 501 component [F (1, 589) = 76.40, p < .001,  $\eta_p^2 = .11$ ] were consistently observed, with both the 502 503 standard and an online version (https://www.ugr.es/~neurocog/ANTI/) of the ANTI-Vea 504 (Luna et al., Unpublished results). Importantly, here we have demonstrated that anodal online 505 HD-tDCS over the right PPC and the right DLPFC are effective to moderate only the EV decrement, but not the AV one. 506

507 Thus, whereas previous studies have reported some overlapped brain activity for the 508 EV and AV components, in the current study we provide novel evidence to support an 509 empirical dissociation at the neural level between EV and AV. For instance, previous studies 510 have found increased activity in the default mode network (i.e., a circuit of medial and 511 posterior regions strongly linked to the cognitive functioning in resting-state) associated with 512 both: (a) the AV component, when performing the PVT task after 36 hours of total sleep 513 deprivation (Drummond et al., 2005); and (b) the EV component, when performing a typical

514 signal detection task (Danckert and Merrifield, 2016). Instead, here we have observed that 515 stimulating two core regions (i.e., the right PPC and the right DLPFC) of the attentional 516 networks system while participants perform a multiple attentional and vigilance task (i.e., the 517 ANTI-Vea), mitigates particularly the EV decrement across time on task, but not the AV one. 518 Importantly, these results are in line with some recent findings that demonstrated a clear 519 dissociation of vigilance components at the physiological level (Sanchis et al., Unpublished 520 results). In a study conducted in collaboration with sport scientists, we observed that whereas 521 moderate exercise seems to stabilize the RT of responses on EV across time on task, the 522 effects of caffeine intake seems to mitigate in particular the AV decrement independently on 523 the exercise intensity (Sanchis et al., Unpublished results).

524 The current findings might help to develop new treatment alternatives in clinical 525 populations in which it is commonly observed an increment on attentional failures and a drop 526 of performance during extended periods of time. For instance, it has been reported that 527 traumatic brain injury patients (TBI) usually fail in sustaining attention for detecting 528 infrequent signals, in comparison with the performance observed in healthy adults (Dockree 529 et al., 2004; Robertson et al., 1997). Furthermore, it has been proposed that children with 530 attention-deficit hyperactivity disorder (ADHD) show an inattentive response style when 531 performing the ANT, characterized as a low accuracy on responses and a great variability in 532 performance (Adolfsdottir et al., 2008). Interestingly, note that although a recent meta-533 analysis has reported a small-to-medium effect size of anodal tDCS in modulating others 534 cognitive functions (i.e., inhibitory control and working memory) in ADHD children, in the 535 stimulation protocols analyzed in this work: (a) only one study has delivered online tDCS; 536 and (b) all of them used the standard electrodes setup (e.g., an anode and a cathode), instead 537 of delivering HD-tDCS (Salehinejad et al., 2019). Thus, future research should consider 538 whether online and anodal HD-tDCS might be a substantially more effective tool than offline

and standard tDCS to improve behavioral performance in clinical patients as TBI and ADHD,
in particular in the phasic alertness state and the ability to monitor and detect infrequent
signals, as we have demonstrated in the current study.

542 Regarding the effects of anodal HD-tDCS over the alpha band, it was found that the 543 increment usually observed in the alpha power during long time periods was importantly 544 reduced in the parietal region only by stimulating the right PPC. Interestingly, previous 545 research has already reported some beneficial effects of online anodal tDCS on the electrical 546 cortical activity of the stimulated region (Brosnan et al., 2018). In particular, it has been 547 observed that online anodal tDCS over the right prefrontal cortex both reduced attentional 548 lapses in a signal detection task and enhanced some EEG markers of frontal engagement and 549 early sensory processing. However, it is worth mentioning that these effects were found in a 550 sample of older adults – a population wherein vigilance performance is usually impaired 551 (Fortenbaugh et al., 2015)– that, in addition, had a low capacity to sustain attention (Brosnan 552 et al., 2018).

553 In the present research, we observed in a sample of healthy adults a clear mitigation of 554 the alpha power increment over right PPC by anodal HD-tDCS that, nevertheless, seems to be 555 independent on the performance of the vigilance components across time on task. Therefore, 556 to further determine the role of the alpha band in the vigilance decrement phenomenon, future 557 research should more deeply examine whether alpha power modulation is exclusively linked 558 to the EV decrement but not to the AV one. In this vein, future studies might consider to 559 modulate alpha power with transcranial alternating current stimulation (tACS), which is 560 indeed a suitable stimulation technique to stabilize specifically a band of electrical cortical 561 rhythms (Fertonani and Miniussi, 2017). For instance, in a recent study it has been proved 562 that if alpha power is stabilized at 10 Hz across time on task by tACS in the occipitoparietal 563 cortex while participants perform a signal detection task, then the EV decrement is mitigated

in both the hits and the RT of responses (Clayton et al., 2019). Moreover, future studies might
consider to analyze the role of others electrical cortical rhythms, in particular the delta (1-4
Hz) and theta (4-8 Hz) bands, which have been also associated with the AV decrement under
sleep deprivation conditions (HoedImoser et al., 2011).

568 Finally, we reckon that some results of the present study should be interpreted with 569 caution. In particular, there were some baseline differences between groups in two dependent 570 variables, i.e., the FA on EV and the mean RT on AV. However, note that, importantly, if 571 these data are corrected to compute the change on each block against baseline to eliminate 572 groups' differences at baseline, the pattern of results does not change: there is no significant 573 modulation of stimulation group neither in FA on EV nor in mean RT on AV across time on 574 task (both Fs < 1). To overcome this potential limitation, future studies should estimate a 575 priori the sample size (to control for substantial variability) or conduct full within-participants 576 designs (although considering associated issues such as possible learning effects).

To conclude, the main contributions of the present study are to have shown that online anodal HD-tDCS over the right PPC and DLPFC effectively: (a) modulates phasic alertness, but not the attentional orienting and executive control functioning; and (b) mitigates the EV decrement, but not the AV one. Critically, the current findings further support an empirical dissociation between vigilance components. Finally, PPC HD-tDCS reduced importantly alpha power increment across time on task, which was however, independent of the vigilance performance.

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